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## Lecture \#4: Simple Compression: Huffman Trees

- Strings are composed of characters, which (like everything else in a computer) are represented as bit strings.
- The relationship between characters and their bit representations (encodings or code points) is arbitrary. Standardization is necessary to prevent chaos.
- Python now uses an international standard known as Unicode, which encodes (as of Version 9.0) 128,237 characters, using code points that range from 0-1,114,111.
- These cover 135 scripts (roughly, alphabets), and various sets of symbols: punctuation, control characters (like tab or newline), mathematical symbols, etc.
- A few examples:

| Literal | Glyph | Encoding | Glyph | Encoding | Glyph |
| :---: | :---: | :---: | :---: | :---: | :---: |
| "\u0041" | A | "\u00A7" | § | "\u0398" | $\Theta$ |
| "\u0061" | a | "\u00A9" | (C) | "\u2663" | 4 |
| "\u0030" | 0 | "\u00E9" |  | "\u2639" | $\stackrel{+}{ }$ |
| "\u0040" | @ | "\u05D0" | « |  |  |

## More Efficient Encoding

- If every character in a text is represented by an integer value in the full range, we'd have 3 bytes ( 24 bits) per character.
- So usually, the code points themselves are encoded.
- One common encoding, UTF-8, uses 1-4 bytes per character, depending on the number of significant bits in the code point.

| Bits Coded | Range of code points |  | Byte 1 | Byte 2 | Byte 3 | Byte 4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 7 | 0x0000 | 0x007F | 0xxxxxxx |  |  |  |
| 11 | 0x0080 | 0x07FF | 110xxxxx | 10xxxxxx |  |  |
| 16 | 0x0800 | 0xFFFF | 1110xxxx | 10 xxxxxx | 10xxxxxx |  |
| 21 | 0x10000 | 0x10FFFF | 11110xxx | 10 xxxxxx | 10 xxxxxx | 10xxxxxx |

- x's mark places containing the bits of the code points. The other bits flag how many bytes are needed.
- Where one-byte characters are common, this saves space.
- One clever feature is that bytes 2-4 (continuation bytes) all start with a distinctive pattern (10), so that if one starts at any byte in an array of bytes, one can find the beginning of the character.


## Still More Efficient

- We can, however, do better still by using other variable-length encodings that can use less than a byte per character.
- There's potential problem with this idea, however: ambiguity.
- Suppose we tried an encoding like this, using shorter codes for more common letters:

$$
E \Rightarrow 0, T \Rightarrow 1, A \Rightarrow 10,0 \Rightarrow 11, I \Rightarrow 100, \ldots
$$

- And suppose we receive the bits 100.
- Is this "TEE", "AE", or "I"? Where does one letter end and the next begin?


## Unique Prefix Property

- This ambiguity problem can be solved by choosing a code with the Unique Prefix Property: The bit encoding for any character is never a prefix of the encoding of any other character.
- For example, the encoding

$$
\text { E => 0, T }=>10, \text { A } \Rightarrow \text { 1101, } 0 \text { => 1100, I } \Rightarrow \text { 1110, ... }
$$

has this property (at least for the characters shown). No encodings appears at the beginning of any other.

- E.g., "TEE" encodes to 1000, "AE" to 11010, and 'I' to 1110.
- There is never any ambiguity about where a character begins, if one works from the left.
- Starting from a given bit position, $p$, as soon as one collects bits that match the encoding of character $C$, we know that $C$ has to be the character that starts at $p$, since adding more bits can never match another character.


## Decoding Using the Unique Prefix Property

- Given a bit encoding with the unique prefix property, how do we decode?
- Discussion in previous slide gives one solution using a dictionary to map encodings to characters.
- For simplicity, imagine our encoded text as a string of Os and 1s (not a representation you'd actually use in practice!).
- Suppose $D$ is a dictionary from such strings of $0 s$ and $1 s$ to characters. Then,

```
def decode(msg):
    """Convert encoded message MSG into the character string it represents."""
    ch = ""
    result = ""
    for b in msg:
        ch += b
        if ch in D:
            result += D[ch]
                ch = ""
```


## Using Trees

- Binary trees offer a particular way to represent the dictionary from the last slide.

| Letter | Encoding |
| :---: | :---: |
| A | 00 |
| B | 01 |
| C | 100 |
| D | 101 |
| E | 1100 |
| F | 1101 |



- Left branches tell what to do when looking at a 0 bit; right branches do the same for 1 bits (result is called a Patricia tree.
- To decode, e.g., 1101001011100,
- Following bits 1101 (right, right, left, right) takes us to leaf ' $F$ '.
- Returning to the top, 00 takes us to ' $A$ '.
- Again from the top, 101 takes us to ' $D$ '.
- Finally, 1100 gives ' $E$ '. Complete decoding: "FADE".


## A Problem

- How, then, do we get an encoding that
- Minimizes the size of a text, and
- Satisfies the unique prefix property (so that it can be decoded unambiguously.)
- There is no universal encoding that does this for any text.
- We'd like an algorithn that finds a custom-made optimal encoding for any particular text.
- Idea is to encode more common charcters in fewer bits.


## Huffman Coding

- Huffman coding is named after an MIT student who invented this encoding in response to a class assignment.
- Given an alphabet of symbols to be encoded, with their relative frequencies in a text, it produces the optimal variable-width uniqueprefix encoding, assuming that we encode individual characters independently.
- Basic idea is to accumulate trees representing subsets of characters from the bottom up, starting with trivial trees each containing a single character.
- Each time two trees are clustered into one under a new parent node, it represents an additional bit in the coding, so it is best to prefer clustering trees that represent characters with smallest frequency.


## Example

- Want to encode string "AAAAAAAAAABBBBBCCCCCCCDDDDDDDDDEEEF"
- Here, the frequencies are

| Letter | Count |
| :---: | :---: |
| A | 10 |
| B | 5 |
| C | 7 |
| D | 9 |
| E | 3 |
| F | 1 |

- Represent as 6 one-node trees labeled with letters and their frequencies:



## Forming Subtrees

- Starting with
F/1 E/3 B/5 C/7 D/9 A/10
- We combine the two nodes with the smallest frequencies to get a "bigger" node representing both the characters E and F:

- Keeping the resulting trees in order by frequency, repeat:

(D/9 4/10


## Forming Subtrees (II)

- And again:



## Forming Subtrees (III)

- And yet again:



## Forming Subtrees (IV)

- Finally, we get the tree on the left, which corresponds to the encoding table on the right


| Letter | Encoding |
| :---: | :---: |
| A | 11 |
| B | 011 |
| C | 00 |
| D | 10 |
| E | 0101 |
| F | 0100 |

- So string "AAAAAAAAAABBBBBCCCCCCCDDDDDDDDDEEEF" encodes as
"1111111111111111111011011011011011000000000000001010101010101010100101010101010100" which is 84 bits as opposed to 94 with our previous unique-prefix encoding from slide 6, and 280 using UTF-8 and Unicode.

